BASELINE CHARACTERIZATION OF ENVIRONMENTAL 1 **CONDITIONS LEADING UP TO MARINE PROTECTED** 2 **AREA ESTABLISHMENT IN THE NORTH-CENTRAL COAST** 3 **STUDY REGION** 4 5 6 7 8 William J. Sydeman^{1,2}, Sarah Ann Thompson^{1,3}, Marisol Garcia-Reyes¹, 9 and Mati Kahru² 10 11 ¹Farallon Institute for Advanced Ecosystem Research 12 13 Petaluma, CA 94952 www.faralloninstitute.org 14 www.faralloninstitute2@wordpress.com 15 16 ²Integrative Oceanography, Scripps Institution of Oceanography 17 La Jolla, CA 95060 18 19 ³Climate Impacts Group, University of Washington, Seattle, WA 98115 20 21 22 23 karallon Institute SCRIPPS IMPAC Rolianced Ecosystem Res UCSE GR \mathbf{O} 24 25 26 27 28

Revised Final Report: 1 October 2013

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A. Assessment of Project Goals and Accomplishments

- 111 112 Recognizing past and ongoing change in environmental conditions (climatological, 113 oceanographic, and ecological), the Monitoring Enterprise requested an assessment of 114 environmental conditions leading up to MPA implementation in the NCC region. This 115 work was designed to promote a better understanding of baseline monitoring results. 116 As many if not most biological populations in the region respond to environmental 117 variation, evaluating coupled climate-ecosystem variability is essential to detect and 118 subsequently attribute changes in MPAs to management actions. In this project, we 119 addressed this specific need for the NCC MPA biological monitoring program. 120 121 Fundamentally, our project was a task of integration. To accomplish this project, we 122 proposed to develop and report upon a suite of integrated *multivariate indicators* of (a) 123 "ocean climate", and (b) biological populations and productivity, which together may 124 serve to characterize the state of the regional ecosystem. 125 126 Using our Integrated Marine Ecological Database (IMED; Farallon Inst., unpubl.), we 127 focused on developing multivariate ocean climate indicators (hereafter MOCI). MOCI
- 128 were based on a compilation of 16 well-known large-scale to regional indicators (details 129 provided in our final report). As proposed, we compiled data for each season from 130 1990-2010, and produced seasonal MOCI using Principal Component/Empirical 131 Orthogonal Function analysis. As proposed, we were able to test the relationships 132 between MOCI and lower and upper trophic level ecosystem components including a 133 measurement of phytoplankton biomass (chl-a concentration - a combined product from 134 OCTS, SeaWiFS, and MODIS) and seabird productivity (breeding success of 4 species 135 from the Farallon Islands). 136
- 137 As proposed, we analyzed each of the indicator data sets for trends in "state" (mean) 138 and "variability" (variance). In comparison with other environmental syntheses, this analysis is significant in that (a) it focuses on the NNC region (previous work has been 139 on the scale of the entire California Current), (b) it includes indicators that have yet to be 140 141 integrated in other ecosystem status reports (e.g., surface currents from the State of 142 California's HF radar array), and (c) the MOCI are intuitive and interpretable and as 143 such should be accessible to the MPA management community (Monitoring Enterprise, 144 CDFW).
- 145
- We also proposed to augment **IMED** with new data collected during the baseline monitoring efforts, but unfortunately were not able to integrate these data because the data are not yet available from other project PIs. We could have foreseen this issue with the timing of availability of new NCC MPA monitoring data, but during the proposal process, this did not occur to us, the ME, or other project PIs.
- 152 Overall, we accomplished most project goals and are satisfied with project results
- 153 (statistical integration of environmental indicators). This information should be of
- 154 considerable value to the State of California for understanding and evaluating results of

baseline MPA monitoring in the NCC region. We have also submitted a paper based on
this project for publication in the journal *Progress in Oceanography*.

B. Executive Summary – Integrated Ecosystem Assessment (Sydeman and Thompson, Revised 1 October 2013)

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1. California's nearshore marine life is responsive to year-to-year and longer-term 162 changes in ocean conditions. In particular, growth/size, production, and 163 164 abundance of fish and other taxa are known to change with variation in water temperature, upwelling-favorable winds, and currents. Therefore, baseline 165 information on variability in ocean conditions is required to understand and 166 evaluate changes in populations in this region. This context is critical to monitoring population change and adaptive management of Marine Protected 168 169 Areas (MPA) in California. Without a simultaneous assessment of the effects of 170 ocean conditions, it will be difficult to formally attribute changes in populations to the protection afforded by MPA designations.

- 2. In this report, we develop and explore comprehensive indicators of temporal variability in ocean conditions over a 21-year period preceding the establishment of MPAs along California's north-central coast (NCC). To test the relevance of these indicators to populations, we relate them to a few key biological data sets that are representative of marine life within and outside NCC MPA.
- 3. To develop ocean-climate indicators, we selected, compiled and analyzed 16 well-known atmospheric and oceanographic measurements that represent key large-scale drivers and regional attributes of the coastal physical environment. These include drivers of ocean-climate variability from the tropical Pacific (e.g., El Niño - Southern Oscillation) and north Pacific (e.g., Pacific Decadal Oscillation), as well as regional atmospheric and ocean conditions such as wind stress, sea surface temperature, salinity, and a novel time series of surface currents from the State of California's new HF radar array. While the indicators are based on large- to regional-scale measurements, they have consequences for marine life at the local (MPA) scale and thus are important to biological monitoring, management evaluations, and adaptive management.
- 191 In this project, we designed and implemented seasonal indicators using a 192 multivariate statistical technique used to synthesize groups of related variables 193 known as Principal Component Analysis (PCA). PCA allows one to understand relationships between similar variables taken from different locations, as well as 194 195 combine variables in a comprehensive and synthetic manner. We refer to this compilation of variables as seasonal "Multivariate Ocean Climate Indicators" 196 197 (MOCI). 198
- 199 Our analysis of ocean conditions over the 21-year period leading up to MPA establishment in the NCC study region indicates weakening of the ENSO cycle 200 201 resulting in fewer and less intense El Niño events, and a strengthening of sub-202 arctic influences on the region including a major cooling represented by the Pacific Decadal Oscillation (PDO). Corresponding to these changes, the 203 Northern Oscillation Index (NOI) strengthened, leading to enhanced upwelling-204

205favorable wind stress as well as air and ocean surface temperature cooling.206These conditions are conducive to population growth for species with temperate207or sub-arctic zoogeographic affinities, such as many fish species now protected208by MPAs. This indicates that population recovery may be enhanced by the209prevailing ocean conditions leading up to MPA implementation.

- Corresponding to the recent increases in upwelling-favorable winds and decreases in ocean temperature, phytoplankton biomass also increased in the NCC study region. The positive trend observed in phytoplankton biomass could be important for other marine life under study in NCC MPA as it indicates greater biomass at the base of the food chain. MOCI also related well to seabird productivity. We recommend additional tests of the applicability of MOCI to populations, including populations studied during monitoring activities of central coast MPA.
 - 7. Our study supports a conclusion of enhanced sub-arctic influences and upwelling intensification in north-central California over the past two decades, and that these changes are contributing to greater lower trophic level biomass. Our analyses provide context necessary to evaluate responses in local coastal ecosystems to recent changes in fishing and other practices within MPA. Linking changes in the MOCI to newly obtained biological observations from MPA monitoring is warranted to separate the effects of ocean-climate variability from changes in fishing and other anthropogenic pressures on these coastal ecosystems and populations.
 - 8. Regular updating of MOCI will be necessary to advance analyses of coupled climate-ecosystem change and management evaluations in the NCC and other study regions of California. It would also be of great value to consider the skill of MOCI in predicting biological variables from NCC MPA monitoring once these data are made accessible to the research and management communities.
- Adaptive management of NCC MPA may be enhanced by the greater understanding of ocean conditions revealed by MOCI and other indicators of environmental variability. MOCI provide the environmental "backdrop" for the evaluation of population change, thereby providing context with which to interpret monitoring results within and outside MPA. If population changes within MPA match those of control or reference sites, this could indicate that environmental factors (as described by MOCI) are driving population changes. If population changes do not match, this could indicate that management (protection) is having a stronger impact. In this manner, managers may be able to ascribe the statistical variation in populations to either environmental (ocean) conditions or management actions.

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251	BASELINE CHARACTERIZATION OF ENVIRONMENTAL CONDITIONS
252	LEADING UP TO MARINE PROTECTED AREA ESTABLISHMENT IN
253	THE NORTH-CENTRAL COAST STUDY REGION
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1 October 2013

284 **1. Introduction**

285 Ocean-climate variability has been shown to strongly affect marine life, including 286 plankton, fish, and top predators, with clear applications in fisheries, wildlife and 287 ecosystem management (Hiort, 1914; Cury et al., 2008; Carr et al., 2011). Compared to many of the world's seas, the effects of ocean-climate variability on the California 288 289 Current ecosystem (CCE) have been particularly well-studied (Peña and Bograd, 2007). 290 This marine realm, situated off the west coast of Canada, the U.S., and Baja California, Mexico, is affected by basin-scale atmospheric-oceanic coupling due to the combined 291 292 effects of El Niño Southern Oscillation events (ENSO; Lenarz et al., 1995; McPhaden et 293 al., 2006) and the decadal-scale North Pacific Gyre Oscillation (Di Lorenzo et al., 2008) 294 and Pacific Decadal Oscillation (PDO; Mantua et al., 1997). Regional coastal and 295 offshore upwelling, operating from daily to decadal time scales, also affect biotic 296 variability of the CCE (Huyer, 1983; García-Reyes and Largier, 2010, 2012). 297 Mechanistically, currents associated with tropical and extra-tropical transport bring 298 waters of varying characteristics into the system (Chelton et al., 1982). Subsequently, 299 regional upwelling and its vertical and offshore displacement operate on these water 300 masses, dispersing nutrients and plankton, which in turn determines primary and 301 secondary productivity (Checkley and Barth, 2009). Thus, to understand how climate 302 variability relates to marine life in the CCE, consideration of the interactive effects of 303 horizontal (currents) and vertical (upwelling) transport is required. Although a variety of 304 indicators are available to proxy these processes, rarely have they been combined in a 305 holistic manner to examine bio-physical interactions (but see Hemery et al., 2008).

306 The need for a comprehensive approach to ocean-climate indicators increased 307 recently when the State of California established a network of 124 Marine Protected 308 Areas (MPAs) and 15 special closures in which fisheries and other human activities 309 were either curtailed or eliminated entirely. This management began with the 310 implementation of 29 MPAs along the central California coast in 2007 followed by 25 MPAs along the central-northern coast in 2010. In 2012, 50 south coast and 20 north 311 coast MPAs were implemented. In total, ~852 mi² and ~16% of California's coastal 312 waters are now protected in no-take marine reserves and limited-take marine 313 314 conservation areas (www.dfg.ca.gov/mlpa). California's MPAs are located in coastal to 315 neritic habitats typically within three miles from shore, near offshore islands (such as the Farallon Islands), and above major subsurface topographies (e.g., Monterey Canyon). 316 317 Since MPAs restrict fisheries and other human uses of marine species and habitats, 318 MPA implementation was controversial. Therefore, in conjunction with implementation, 319 an evaluation program designed to provide information on biotic responses to 320 reductions in fisheries mortality and other factors was initiated. Fieldwork for these 321 management-oriented evaluations began in 2008 along the central coast and in 2011 322 along the north-central coast.

Monitoring the outcome of reduced fishing when MPAs are established may be complicated by environmental variability which can confound interpretations of biotic change. For example, many of the focal *Sebastes* spp. respond to variation in largescale and local ocean climate with effects on growth (Black et al., 2010) and proxies of reproductive success (Ralston et al., 2013). To place these recently obtained biological observations in an environmental context, we designed and implemented a framework for ocean-climate indicators for the central and north-central California Current by 330 combining a suite of well-known indicators using multivariate statistical procedures (see 331 also Mackas et al., 2007; Hemery et al., 2008). Our principal goal was to provide an 332 assessment of environmental conditions leading up to MPA establishment in the mid-333 late 2000s. Because CCE biota respond to seasonal variation in environmental conditions (e.g., Hooff and Peterson, 2006; Black et al., 2010, 2011; Thompson et al., 334 335 2012), we produced indicators for intra-annual variability. The utility of complex 336 multivariate indicators can be challenged if they are difficult to interpret or do not accurately represent known environmental variability (Rice and Rochet, 2005). 337 338 Therefore, based on the literature, we developed a series of a priori expectations to 339 evaluate multivariate indicators. These include El Niño conditions that affected the 340 region in 1992-1993, 1997-1998, 2003, and 2009-2010 (Bjorkstedt et al., 2010), the 341 warm-water non-El Niño event of 2005 (GRL Special Section, 2006), and strong La 342 Niña conditions in 1999 and 2008. These conditions have been well described in 343 previous publications, but to date there have been no attempts to create multivariate 344 ocean-climate indicators or evaluate how well these indicators represent such 345 variability. If indicators match known variability, arguably they would be interpretable 346 and representative, key attributes for useful and valid ecological indicators (Levin et al., 347 2009). To test the utility of our multivariate ocean-climate indicators (hereafter MOCI, 348 see Hemery et al. 2008), we related them to two initial lower and upper trophic-level 349 biological indicators. We will provide a more comprehensive analysis of biological 350 responses to MOCI in a future report. Here, we focus on indicator development and a 351 description of environmental conditions over the 21-year period 1990-2010.

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353 2. Methods

354 We focused data synthesis on 1990 through 2010 as this period followed the putative regime shifts of 1989-1990 (Hare and Mantua, 2000) and 1976-1997 (McGowan et al., 355 356 2003). Others have suggested another regime shift in 1998-1999 (Bond et al., 2003; Peterson and Schwing, 2003), but the persistence of this shift remains equivocal. We 357 selected a total of 16 basin- (SOI, MEI, ONI, NPI, PDO, NPGO, NOI, and California 358 359 Current flow (two individual measurements)) and regional-scale (Upwelling Index, wind 360 stress, sea level, SST, salinity, surface air temperature, and precipitation) atmospheric 361 and oceanographic indicators for synthesis (Table 1, see below for descriptions). We evaluated environmental conditions by exploring variability on different time scales. 362 363 When MPAs are established, fisheries mortality is reduced immediately. Therefore, 364 relatively short-term biotic responses are possible assuming rapidly responding response parameters are monitored. In considering the potential for rapid biological 365 responses, we focused on understanding the status of the environment on seasonal, 366 367 interannual, and bi/triennial scales. However, while some rapid biological responses 368 are possible, long-lived species may not show short-term changes. This is certainly true 369 for the Sebastes spp. of interest in this ecosystem – changes in the populations of these 370 species are likely only on decadal scales. Therefore, in this context, we focused on 371 understanding trends in the environment and decadal-scale variability. Last, we 372 examine the potential for our multivariate ocean climate indicators to predict biological 373 responses by investigating relationships with two well-known biological indicators:

- seabird reproductive success (Ainley et al., 1995; Sydeman et al., 2001, 2006, 2009)
 and chlorophyll-a concentrations (Kahru et al., 2012).
- 376

377 2.1 Data

378 **2.1.1 Indicators of Transport from the Tropics**

Indicators of the warm El Niño phase of the ENSO cycle are associated with strong 379 advection from the tropics, whereas indicators of the cold La Niña phase reflect weak 380 northward transport. We used three indicators for El Niño: one atmospheric, one 381 382 oceanographic, and one multivariate indicator that includes both oceanographic and 383 atmospheric variables. The Southern Oscillation Index (SOI), calculated as monthly 384 fluctuations in sea level pressure between the Tahiti Low and Darwin, Australia High, 385 was used as an indicator of the atmospheric drivers of ENSO. Prolonged negative 386 values signify EI Niño episodes while sustained positive values are associated with La Niña episodes. To present the SOI in phase with other variables, we reversed the sign 387 388 of this indicator, such that positive values reflect El Niño and negative values reflect La 389 Niña. Data were calculated by the Climate Analysis Section, National Center for 390 Atmospheric Research, and were obtained from

- 391 http://www.cgd.ucar.edu/cas/catalog/climind/SOI.signal.ascii. The Oceanic Nino Index
- 392 (ONI), a 3-month running mean of SST anomalies in the region 5°N-5°S, 120-170°W
- (Nino3.4), was used as an oceanographic manifestation of the ENSO cycle. The ONI is
- computed by the NOAA National Weather Service, Center for Climate Prediction. Data
 were obtained from
- 396 <u>http://www.cpc.ncep.noaa.gov/products/analysis_monitoring/ensostuff/ensoyears.shtml</u>.
- 397 The Multivariate ENSO Index (MEI), based on six observed variables over the tropical
- Pacific, was used as an indicator of the tropical oceanographic manifestation of the
- ENSO cycle (Wolter and Timlin, 1993). Negative values of the MEI represent the cold
- 400 ENSO phase (La Niña), while positive MEI values represent the warm ENSO phase (El
- Niño). Data were obtained from NOAA's Earth System Research Laboratory at
 http://www.esrl.noaa.gov/psd/enso/mei/table.html.
- 402 <u>http://wv</u> 403

404 **2.1.2 Indicators of Transport from the Extra-Tropics**

- We selected the North Pacific Index (NPI), the area-weighted sea level pressure over the region 30°N-65°N, 160°E-140°W, as our atmospheric driver of transport from the North Pacific to the California Current. Data were calculated by the Climate Analysis Section, National Center for Atmospheric Research and were downloaded from <u>http://www.cgd.ucar.edu/cas/jhurrell/indices.data.html#npmon</u>. This variable provides an index of the strength and positioning of the Aleutian Low Pressure system, which in turn is expressed in large-scale ocean conditions in the North Pacific reflected in the
- 411 turn is expressed in large-scale ocean conditions in the North Pacific reflected in the 412 Pacific Decadal Oscillation (PDO) and North Pacific Gyre Oscillation Index (NPGO).
- 412 The NPGO index was downloaded from http://eros.eas.gatech.edu/npgo/data/NPGO.txt
- 414 and emerges as the second EOF of Northeast Pacific sea-surface height anomalies (Di
- 415 Lorenzo et al., 2008). Positive values also indicate a strong North Pacific gyre and
- 416 advective transport from the north, whereas negative values indicate a weak gyre and
- decreased southward transport (Hooff and Peterson, 2006; Keister et al., 2011). We
- also used the PDO index, developed by Zhang et al. (1997), as an oceanographic index
- of sub-arctic influences on the CCE. Data were downloaded from the Joint Institute for

the Study of the Atmosphere and Ocean at the University of Washington. Methods and

- 421 details of computation are available at <u>http://jisao.washington.edu/pdo/PDO.latest</u>. The
- 422 PDO reflects SST for the entire North Pacific, including the CCE, at locations > 20°N.
- Positive values of the PDO indicate warm SST along the eastern north Pacific and cool
- 424 SST over the central and western north Pacific. 425
- 426 2.1.3 Indicators of Regional Upwelling
- 427 The Northern Oscillation Index (NOI) provides an index of the strength and positioning
- of the North Pacific High pressure system which, with the Continental Thermal Low,
- drives the winds that cause upwelling in north-central California (Schwing et al., 2002).
- The NOI is calculated by the Pacific Fisheries Environmental Laboratory, NOAA, based
- 431 on monthly fluctuations in the sea level pressure difference between the North Pacific
- High and Darwin, Australia, Low thus also including variability from the tropics. NOIdata were downloaded from
- 434 <u>http://www.pfeg.noaa.gov/products/PFEL/modeled/indices/NOIx/noix_download.html</u>.
- 435 The timing and amplitude of upwelling is proxied by the Bakun Upwelling Index (UI),
- 436 calculated by NOAA's Environmental Research Division, from estimates of the
- 437 magnitude of the offshore component of the Ekman transport driven by wind stress
- 438 (Schwing et al., 1996, 2006; Bograd et al., 2009). Positive values indicate upwelling 439 while negative values indicate downwelling. Methods and details of computation are
- 440 available from
- http://www.pfeg.noaa.gov/products/PFEL/modeled/indices/upwelling/NA/how_computed
 .html. For this report we used UI indicators from 36°N 122°W and 39°N 125°W.
- 444

445 2.1.4 Indicators of Local Current Flows

446 Flow in the California Current in the sub-arctic region of the Northeast Pacific was calculated by Howard Freeland of Fisheries and Oceans Canada using data collected 447 448 by Argo profiling floats (http://www.argo.net/; Freeland and Cummins, 2005). Positive values indicate stronger flows whereas negative values indicate weaker flows. Surface 449 flow data were also collected by High-Frequency (HF) Radar (Barrick and Lipa, 1979) 450 off of Point Reyes, California, in conjunction with the Coastal Ocean Currents 451 Monitoring Program (COCMP; Halle and Largier, 2011), and a surface current flow 452 453 index was produced. Radial data from two standard range stations, BML1 (located at 454 Bodega Bay) and PREY (located at South Beach, Point Reyes) were combined using standard CODAR data processing methods (Kaplan et al., 2005). The Point Reves flow 455 456 index is defined in terms of four cells, each measuring approximately 14.6 km x 18.5 km 457 (270 km²) starting at the coast and extending approximately 51.2 km out to sea from Point Reves, including the area around Cordell Bank. The cell boundaries are defined 458 459 by lines of longitude and latitude spaced at 10-minute intervals between 123°0'W 38°0'N and 123°40'W 38°10'N. Within each cell, an hourly-based total vector file was 460 processed to calculate daily and monthly average U (westward) and V (southward) 461 462 current values from all the vectors located within each cell. Here, we focus on the V component. Negative values indicate current flow in a southward direction, but to put 463 this variable in phase with the others we reversed the sign, therefore positive values 464 465 indicate stronger surface flows to the south.

467 2.1.5 Indicators of Local Atmospheric and Hydrographic Conditions

468 We used alongshore wind stress as an index of upwelling-favorable winds in the region. García-Reves and Largier (2010, 2012) calculated this variable based on wind speed 469 and direction measured at NOAA buoys at Point Arena (39.2°N 124.0°W), Bodega Bay 470 (38.2°N 123.3°W), San Francisco (37.8°N 122.8°W) and Half Moon Bay (37.4°N 471 122.9°W). Negative values indicate wind stress in an upwelling-favorable equatorial 472 direction, but for better interpretation of this variable we reversed the sign to make it in 473 474 phase with other indicators. Details of the methodology can be found in García-Reyes and Largier (2010, 2012). Sea level measurements (mm), compiled by the National 475 476 Water Level Observation Network (NWLON), were obtained from the Center for 477 Operational Oceanographic Products and Services (CO-OPS; NOS, 2008). We used 478 monthly data, which represent an average of daily values, from the San Francisco (37.8°N 122.5°W), Crescent City (41.4°N 124.1°W), and South Beach (41.5°N 124.1°W) 479 480 sea level gauges. Methods and data were downloaded from the University of Hawaii 481 Sea Level Center (http://uhslc.soest.hawaii.edu/). Local sea level also indexes 482 upwelling and transport. Sea surface temperature data (SST; °C) were collected from 483 the Farallon Islands shore station (37.7°N 123°W), NOAA buoys (Point Arena (39.2°N 484 124.0°W), Bodega Bay (38.2°N 123.3°W), San Francisco (37.8°N 122.8°W), Half Moon Bay (37.4°N 122.9°W) and Monterey Bay (36.8°N 122.4°W)), and the Bodega Ocean 485 486 Observing Node (BOON) program at the UC Davis Bodega Marine Lab (38.3°N 123.1°W). Methods and data for the Farallon Islands shore station are available from 487 488 http://shorestation.ucsd.edu/methods/index methods.html. Buoy data were 489 downloaded from the National Data Buoy Center (http://www.ndbc.noaa.gov/) and 490 Bodega Marine Lab SST data were downloaded from 491 http://bml.ucdavis.edu/boon/data seatemp.html. Salinity data (psu) from the Farallon 492 Islands (37.7°N 123°W) and the Bodega Marine Lab (38.3°N 123.1°W) were also compiled. Data were downloaded from 493 494 ftp://ftp.iod.ucsd.edu/shore/active_data/farallon/salinity/ and http://bml.ucdavis.edu/boon/data salinity.html, respectively. Air temperature (°C) was 495 measured at the Bodega Marine Lab (BML; 38.3°N 123.1°W) in a weather box 40 m 496 497 from the coastal bluff. Data were obtained from http://bml.ucdavis.edu/boon/data airtemp.html. Daily temperatures were averaged to 498 499 monthly values for analysis. Lastly, precipitation (cm) and air temperature (°C) data 500 were downloaded from the National Climate Data Center, NOAA

501 (http://cdo.ncdc.noaa.gov/pls/plclimprod/somdmain.somdwrapper?datasetabbv=DS322
 502 <u>0&countryabbv=&georegionabbv=&forceoutside</u>)

- 503 for the sites Fort Ross (38.5°N 123.3°W), San Francisco (37.7°N 122.5°W), Pacifica 504 (37.6°N 122.5°W), and Half Moon Bay (37.5°N 122.5°W).
- 505

506 2.1.6 Lower Trophic Level Indicator

507 We used a data set of regional satellite-derived chlorophyll-a (chl-a) combined from

508 multiple sources into a consistent time series of spatial data sets (images) to achieve

- the longest temporal coverage possible. Methods for the compilation of this data set
- are described by Kahru et al. (2012). Briefly, long term measurements of phytoplankton
- 511 biomass, proxied by estimates of chlorophyll-a, can be obtained *in situ* and by ocean

512 color satellites. However, as the life-span of a single satellite sensor is limited, 513 seamlessly merging ocean color observation data from multiple satellite sensors that 514 have different optical bands, sensitivities, noise patterns and overpass times 515 (Maritorena and Siegel, 2005) into a single unified time series remains a major challenge (Gregg et al., 2009). For this study, Kahru et al. consolidated outputs from 516 517 four major ocean color sensors and created new algorithms to make output from these 518 sensors compatible with each other and with in situ chlorophyll-a data collected in the region. To accomplish this task, Kahru et al. matched values from full-resolution Level-519 520 2 satellite data (OCTS, SeaWiFS, MODIS-Aqua, and MERIS) with a data set of 10,050 near-surface in situ chlorophyll-a measurements taken from ships over the period 1996 521 522 through 2010. All satellite sensors showed strong positive correlations with in situ data 523 with the coefficient of determination (r^2) for the spatial matches ranging from 0.791 524 (SeaWiFS) to 0.864 (OCTS). At the same time, significant biases were observed 525 between in situ and satellite data. Kahru et al. minimized the differences and created 526 algorithms that were consistent with both *in situ* and satellite-based sensors. The 527 optimized algorithms were then applied to the daily Level-3 remote sensing data sets of 528 the four sensors and merged into unified daily chlorophyll-a data sets. The merged 529 daily data were then composited into monthly data sets. Chlorophyll-a concentration is 530 log-normally distributed. To account for this, we log transformed monthly chlorophyll-a data before calculating a monthly average and subtracting the monthly average from 531 532 each log transformed monthly value to create anomalies.

533

534 2.1.7 Upper Trophic Level Indicator

535 Reproductive success for the seabirds common murre (Uria aalge), pigeon guillemot 536 (Cepphus columba), Cassin's auklet (Ptychoramphus aleuticus), and Brandt's 537 cormorant (*Phalacrocorax penicillatus*) was studied at Southeast Farallon Island, 538 California, 37.7°N 123°W, by PRBO Conservation Science. Reproductive success was the number of offspring departing the colony per breeding pair per year (Sydeman et al., 539 540 2001). Data were available for 1971-2007 for all species except common murre, which were available for 1972-2007. Seabird data were de-trended using guadratic regression 541 542 and the residuals were saved for further analysis.

543

544 **2.2 Data Processing and Statistical Analyses**

545 Monthly measurements of environmental conditions were expressed as anomalies (monthly value - long-term monthly average; based on full length of time series, see 546 Table 1). To integrate measurements of each variable from different sites (i.e., seven 547 548 sites of measures of SST, four locations of wind stress measurements) we used 549 empirical orthogonal function/principal component analysis (PCA; Legendre and Legendre, 1998). The unrotated first principal component (PC1) for each variable type 550 551 was extracted and retained for further analysis and evaluation. To test for trends in univariate indicators, we conducted Spearman rank correlations on each variable over 552 553 time for each measurement site as well as for variable type PC1s. To test for 554 relationships between variables, we used Spearman rank cross-correlations. To create 555 seasonal MOCI, we used monthly values averaged across months for the following seasons: winter (January-March), spring (April-June), summer (July-September) and 556 557 fall (October-December). Residuals of seasonal averages were calculated and used in

a PCA conducted on all oceanic and atmospheric variables except surface flow, by 558 season, for the years 1990-2010. The unrotated first principal component (MOCI1) for 559 560 each season was extracted and retained. The seasonal MOCI described above used 561 the full suite of climate variables, from large-scale indices to local-scale measurements. We also generated two additional sub-groups of seasonal MOCI using PCA from (1) 562 563 only the large-scale climate indices and (2) only the regional-local measurements. Subsequently, we cross-correlated these sub-groups with our original MOCI of the full 564 suite of large-scale as well as local climate variables to investigate similarities and 565 566 differences between these approaches. As we were primarily interested in environmental conditions leading up to the growing season each year (~March-August), 567 568 we examined the cross-correlations of MOCI and the sub-groups for fall of year_{x-1} and 569 winter, spring, and summer of year_x. Last, we correlated the full-suite seasonal MOCI 570 with the de-trended biological indicators. For chlorophyll-a, we created an annual time 571 series by averaging monthly residuals from October to September. We used fall MOCI of the previous year and winter, spring, and summer MOCI of the concurrent year to test 572 573 for temporal associations. The seabird residual data were synthesized using PCA and 574 the first principal component (PC1_{seabirds}) was saved for analyses. Seabird species 575 residual data and PC1_{seabirds} were tested against seasonal MOCI of the same year.

576

577 **3. Results**

578 **3.1 Status and Trends in Basin-Scale Indicators**

- Results of spearman rank correlation tests for data trends are shown in Table 2. The 579 580 SOI, MEI, and ONI showed negative trends (Fig. 1), indicating a decrease in the frequency and amplitude of El Niño events. 2010 values for the SOI and MEI were 581 particularly anomalous (almost 2x standard deviation), indicating a strong La Niña event 582 in that year. However, this followed a moderate El Niño from mid-2009 through early 583 584 2010. For extra-tropical indices, the NPI showed no trend (Fig. 2a) while the PDO decreased (indicating cooling of the eastern Pacific, Fig. 2b). The NPGO increased 585 586 (indicating greater gyre transport) with a distinct step from negative to mostly positive values in early 1998 (Fig. 2c) and showed a strong period of transport from early 1999 587 to early 2003, which corresponds to negative values of the PDO during the same time 588 period. The NPGO has been largely positive since early 2010, corresponding to a 589 negative PDO. Changes in the NPGO and PDO during the El Niño of 2009 were not 590 591 particularly noteworthy (i.e., well within 1 s.d. of the mean).
- 592

593 **3.2 Status and Trends in Regional Upwelling Indicators**

594 The NOI increased in the study period though the overall rate of change was small 595 (Table 2, Fig. 3a). The NOI was strongly negative (indicating a weak North Pacific High) in early 2010, corresponding to the 2009-2010 El Niño, but rebounded to normal 596 597 levels later in the year. The NOI was particularly high from mid-2007 to early 2009, and was low but not outside 1 s.d. of the mean from late 2002 through 2006. Similar to the 598 NOI, the UI at 36°N and 39°N had a slight, but significantly positive trend (Table 2, Fig. 599 600 3b). Strong negative values in late 2009 indicate downwelling during the 2009-2010 El 601 Niño, and the highest values were observed from 1999 through 2002.

602

603 3.3 Status and Trends in Regional and Local-Scale Current Indicators

There was no trend in the flow of the California Current based on Argo measurements, 2002-2010 (Table 2, Fig. 4a). An anomalously low flow rate was detected, however, for mid late 2004 and early mid 2010. The largest flow was absented from mid 2007 to late

606 mid-late 2004 and early-mid 2010. The largest flow was observed from mid 2007 to late 607 2008. Local flow of surface currents off Point Reves were generally in the positive

608 (increasing) direction (Fig. 4b) though only for cell 2 was the trend significant (Table 2).

609 Local surface currents were highly variable within and between months and years. A

610 peak in the surface flow off Point Reyes was observed in 2004 (opposite to flow in the

- 611 California Current), with another increase in 2007-2008 (corresponding to high
- 612 California Current flows).
- 613

614 **3.4 Status and Trends in Regional Wind and Hydrographic Indicators**

Clear trends were observed for wind stress and SST (Table 2, Fig. 5a,b). Wind stress increased significantly at all sites and was particularly strong in 2008 but decreased in 2009. SST decreased significantly at 4 of 7 locations over the 21-year period and was particularly low for the period 2007-2010. The only significant change in sea level, however, was decreasing sea level at Crescent City (Table 2, Fig. 5c). Sea level was elevated in late 2009, corresponding to the El Niño event at that time, but has since decreased. There were no significant trends for salinity (Fig. 5d). Corresponding to the

622 cold SST, salinity in recent years has also been elevated but decreased from 2007-

- 623 2010 and was not outside average values in most of 2009 and 2010.
- 624

625 **3.5 Status and Trends in Regional Air Temperature and Precipitation Indicators**

Air temperature represented by five sites showed a decreasing trend (Table 2, Fig. 6a)
with the exception of Pacifica where the trend was positive and non-significant. Air
temperature was generally low from 2008-2010. There was no overall trend for
precipitation (Fig. 6b).

630

631 **3.6 Variable-Specific Multivariate Indicators**

632 Within key climate variable types, creating linear combinations of sites using PCA was supported and interpretable. Eigenvalues for the first principal component (PC1) were 633 >3 for surface flow at Point Reyes, wind stress, SST, air temperature and precipitation 634 (Table 3a). Within each of these variables, loadings for different sites were similar 635 (Table 3b). For example, loadings for SST, which include data from seven sites, ranged 636 637 from 0.338 (Monterey Bay) to 0.407 (Farallon Islands). Similarly, loadings for wind 638 stress for four sites ranged from 0.465 (San Francisco) to 0.514 (Half Moon Bay). This 639 reveals strong covariance between sites as well as similar contributions from each site 640 to each multivariate index, thus justifying our approach. Trends in multivariate indices 641 for upwelling, wind stress, and SST confirmed the pattern seen in univariate analyses of increasing NOI, increasing upwelling-favorable wind stress, and decreasing SST in the 642 643 region (Table 2).

644

645 **3.7 Correlations Among Physical Environmental Indicators**

- 646 Correlations between environmental indicators are shown in Table 4. These
- 647 correlations are based on monthly data and are therefore highly autocorrelated. Due to
- this concern, we did not attempt to assign statistical significance to these correlations,
- 649 but instead highlight associations with rho > 0.5. Not surprisingly, the three sub-tropical

indices (SOI, MEI, ONI) were well related to one another. The NOI was related to the
upwelling index, surface flow at Point Reyes, wind stress, SST, and sea level. SST and
salinity were negatively correlated, while SST and air temperature were positively
associated. Notably, at the rho > 0.5 level, the NPI, NPGO, and California Current flow
were unrelated to any other indicators.

655

656 **3.8 Development of Seasonal Multivariate Ocean-Climate Indicators (MOCI)**

Due to seasonal variation, we used PCA to create linear combinations of all physical 657 658 variables within seasons (Table 5). There were distinct differences in the MOCI created for winter (JFM) and spring (AMJ) versus summer (JAS) and fall (OND). The 659 660 eigenvalues for winter and spring were >18 whereas summer and fall were <14; this 661 related to greater variance explained in the first two seasons (56% and 53%, 662 respectively) compared to the latter seasons (38% and 40%, respectively; Table 5a). 663 The second principle components for each seasonal MOCI were balanced with 664 eigenvalues >4, and the variance explained by each was ~13.5%. MOCI1-winter 665 appeared to primarily be explained by a weak NOI (weak North Pacific High) and 666 positive sea level and SST (Table 5b, Fig. 7a). We interpret MOCI1-winter as an index 667 of wintertime atmospheric pressure and upwelling. MOCI2-winter was explained 668 primarily by variation in precipitation (Table 5b); we therefore interpret MOCI2-winter as an indicator of local winter atmospheric conditions, including the PDO. MOCI1-spring 669 670 appeared to be explained primarily by negative upwelling at 39°N and positive sea level 671 and SST (Table 5b, Fig. 7b). Thus, we interpret MOCI1-spring as an index of PDO-672 driven variation in sea level and SST (high and warm). MOCI2-spring was explained 673 primarily by variation in SOI (positive) and NPI (negative; Table 5b), as well as 674 precipitation. MOCI1-summer appeared to be explained primarily by positive sea level, 675 SST, and air temperature (Table 5b, Fig. 7c). We interpret MOCI1-summer as an index 676 of temperature. MOCI2-summer was explained primarily by weak (positive) wind stress coupled with temperature and precipitation (Table 5b). Last, MOCI1-fall appeared to be 677 678 related to the PDO (positive), NOI (negative), weak wind stress, and positive sea level and SST (Table 5b, Fig. 7d), reflecting weak upwelling conditions. MOCI2-fall was 679 explained primarily by variation in SOI, MEI, and ONI (Table 5b); therefore, we interpret 680 681 MOCI2-fall as an indicator of ENSO conditions.

682

683 3.9 Trends in MOCI

Trends in seasonal MOCI are depicted in Fig. 8. MOCI1-winter showed strong 684 upwelling in 1990 and 2007-2008, and particularly weak upwelling in 1992, 1995, 1998, 685 686 2005 and 2010. The overall trend for MOCI1-winter is for more upwelling interannually. 687 MOCI1-spring showed elevated sea level and SST in 1992, 1998, and 2005-2006, and low sea level and cold SST in 1991, 1999, 2001-2002 and 2008. The trend for MOCI1-688 689 spring is for lower sea level and SST through time. MOCI1-summer showed warming in 1997 and 2004 and cooling in 1994, 1996, 2000-2001 and 2010. The overall trend for 690 MOCI1-summer is for ocean cooling, but this is driven largely by 2010. MOCI1-fall 691 692 showed weak upwelling in 1992, 1997, and 2002, and particularly strong upwelling in 693 1990, 1998, 2000, and 2007. There is no overall trend in upwelling for MOCI1-fall. The full MOCI time series is shown in Fig. 9. Years generally categorized as subtropical, 694 695 warm, and rainy with weak winds and upwelling are 1992-1998 and 2003-2007. The

pronounced 1997-1998 ENSO shift is clearly seen. Years generally categorized as subarctic and cold with strong winds and upwelling are 1990-1991, 1999-2002, and 20072011.

699

3.10 Correlations between MOCI, Large-Scale Climate Indices, and Local

701 Measurements

- 702 Seasonal sub-groups of MOCI produced from large-scale climate indices only and
- regional-local measurements only, and the original MOCI from all variables combined
 did not vary substantially from one another and were highly correlated (Table 6).
- 705

706 **3.11 Status and Trends of Biological Indicators**

- 707 Chlorophyll has increased since 1997 (Fig. 10a; n = 168, rho = 0.41, p-value < 0.001).
- There was no trend in reproductive success for pigeon guillemot or Cassin's auklet (Fig.
- 10b,c), but common murre had a negative trend (Fig. 10d; n = 36, rho = -0.29, p-value =
- 0.085) and Brandt's cormorant had a positive trend (Fig. 10e; n = 37, rho = 0.47, p-
- value = 0.003); both of these trends were eliminated using quadratic regression.
- PC1_{seabirds} explained 65% of the variability in the reproductive success of these four
- species (Fig. 10f, eigenvalue = 2.61), and the loadings were largely equal between
- 714 species (range = 0.45 0.53).
- 715

716 **3.12 Correlations between Environmental and Biological Indicators**

- 717 There were no significant relationships between seasonal MOCI and chlorophyll-a 718 concentrations, but there were several correlations between seasonal MOCI and 719 seabird variables. MOCI1-spring was significantly correlated with reproductive success 720 of all seabird species as well as PC1_{seabirds} (Table 7, Fig. 11). All relationships between 721 seasonal MOCI and seabird reproductive success (including PC1_{seabirds}) were negative 722 indicating reduced breeding success with increasing MOCI values. MOCI-spring explained 28%, 76%, 43%, and 37% of the variation in the breeding success of Cassin's 723 724 auklet, common murre, pigeon guillemot, and Brandt's cormorant, respectively, and 725 59% of the variation in PC1_{seabirds}.
- 726

727 **4. Discussion**

728 Disentangling the effects of climate variability and fishing on coastal ecosystems is a 729 challenge as both factors occur simultaneously and interact to affect food webs and 730 biological populations (Link et al., 2002; Hsieh et al., 2008; Kenny et al., 2009; Kirby et 731 al., 2009). As MPA designations are controversial, robust evaluations of the goals of 732 MPAs in protecting and restoring ecosystems are needed. We implemented this study 733 to aid in the interpretation and evaluation of ecological and biological changes within and outside newly established MPAs in the central California Current. Our primary 734 735 goals were to design multivariate ecosystem indicators that capture the ocean-climate 736 processes which affect a variety of marine life, and provide understanding of temporal environmental trends and variability in conditions during the two decades leading up to 737 738 MPA designation.

739

740 **4.1 Indicator Design and Development**

741 To derive a description of environmental variability through time, we created seasonal, 742 multivariate indicators of environmental conditions based on linear combinations of 16 743 well-known atmospheric and oceanographic indicators. In part, we modeled this work 744 after Hemery et al. (2008) and Kenny et al. (2009), who took a similar approach to investigating coupled climate and ecosystem change in the Bay of Biscay, France, and 745 746 the North Sea, respectively. Hemery et al. used 11 climate variables over four seasons (i.e., 44 variables described each year) to create their "Multivariate Ocean-Climate 747 Index", and compared it to the major climate index of their region, the winter North 748 749 Atlantic Oscillation (wNAO). Hemery et al. used SST, air temperature, precipitation, and 750 wind variables, as we did, but they did not integrate measurements of salinity, surface 751 currents, or sea level. We used all of these variables, as well as a variety of basin-scale 752 atmospheric and oceanographic indices (listed above), to create season-specific Multivariate Ocean Climate Indices ("MOCI"; winter (January-March), spring (April-753 754 June), summer (July-September), and fall (October-December)). As most biological processes and populations in the California Current are dependent on seasonal 755 variation in environmental conditions (e.g., Abraham and Sydeman, 2004; Black et al., 756 757 2010, 2011), we surmised that deriving seasonal MOCI would be the most appropriate 758 way to examine physical – biological interactions in this region.

759

760 The seasonal MOCI time series we derived is shown in Fig. 9. By placing the seasonal 761 values in sequence, we can verify whether these indicators reflect known transitions in environmental conditions, for example, those occurring during well-documented ENSO 762 763 events in the eastern tropical Pacific. The strongest El Niño on record transitioned to 764 one of the strongest La Niña events on record from 1997 to 1999 (Chavez et al., 1999). 765 According to our MOCI, the 1997-98 El Niño reached its peak in summer 1997 and 766 transitioned to La Niña during the spring and summer of 1998. This seasonality is 767 temporally matched to physical, chemical, and biological changes in the tropical Pacific and central California (Chavez et al., 2002). Similarly, a weaker, though still significant 768 769 transition to an El Niño event occurred from 1991 to 1992. Our seasonal MOCI indicate that this transition occurred during from winter 1991 through spring/summer 1991 and 770 771 peaked in winter/spring 1992. This transitional period also matched reported changes 772 in biogeochemical attributes of the tropical Pacific (Barber et al., 1996) and central California (Chavez, 1996) at that time. More recently, a modest El Niño occurred with 773 774 the peak observed in our seasonal MOCI in winter 2010 (MEI; 775 http://www.esrl.noaa.gov/psd/enso/mei/table.html). That MOCI match the timing of major and well-known basin-scale oceanographic transitions provides confidence that 776

- major and weil-known basin-scale oceanographic transitions provides confidence that
 the environmental measurements selected, as well as statistical procedures used, result
- in MOCI that accurately represent the environmental conditions in the region.
- 779

780 **4.2 Trends in Environmental Conditions**

The state of a marine ecosystem is a function of the forces acting upon it at various
 temporal and spatial scales. To assess trends in environmental conditions for the north-

central California coastal region, we summarized indicators for the period 1990-2010

- and selected basin-, regional-, and local-scale indicators. We selected 1990 as our
- 785 cutoff due to a putative "regime shift" in environmental conditions and ecosystem status
- that occurred at that time (Hare and Mantua, 2000; Sydeman et al., 2001). Since 1990,

787 the primary trend in the environment of north-central coastal California can be 788 summarized as follows: (1) a general weakening of sub-tropical influences on the 789 system, illustrated by declining trends in the SOI, MEI, and ONI, (2) a general 790 strengthening of sub-arctic influences, shown by cooling of the PDO and increases in the NPGO, and (3) increasing regional-scale upwelling, documented by a stronger NOI 791 792 and UI at both 36°N and 39°N. We found no change in currents on either the large-793 (California Current flow) or local- (surface flow at Point Reyes) scale, probably because 794 the time series for these variables were too short (2002-2010), although flow rates were 795 generally positive as would have been expected under generally increasing sub-arctic 796 influences. Upwelling intensification over the period was supported by observations of 797 significantly increasing wind stress (4 of 4 buoys) and decreasing SST (4 of 7 buoys). 798 PC1 values of wind stress and SST reflective of the entire region confirmed these 799 patterns of change. Interestingly, despite decreasing SST, we did not observe trends in 800 salinity or sea level. Three of five sites showed decreasing air temperature which may 801 be related to changes in ocean temperature. In short, changes in sub-arctic influences, 802 particularly the NPGO, and regional upwelling and ocean temperature have made the 803 environment more temperate in nature.

804

805 4.3 Relationships between Indicators

Correlations between indicators (Table 4) confirm that three main factors appear to 806 807 affect environmental conditions in the marine environment of north-central California. 808 Highlights of robust correlations (rho > 0.5) show clusters of associations amongst 809 ENSO-related variables (MEI, SOI, ONI) as well as their relationships to the PDO and 810 NOI. The ENSO indicators were related to sea level and SST, but not at rho > 0.5. 811 PDO-related associations were strong with sea level and SST as well as air 812 temperature. The correlations between PDO and SST and sea level were positive. 813 indicating a possible mechanistic connection between PDO and transport (indicated by 814 sea level); SST and sea level were also strongly connected. The last cluster of 815 correlations was associated with the NOI, including positive associations with surface 816 flow and wind stress (indicative of upwelling) and negative associations with SST and 817 sea level. The NOI-related cluster apparently reflects regional upwelling and local conditions. Salinity was negatively correlated with sea level and SST, as expected if 818 sea level and SST are driven by upwelling. These clusters of associations suggest that 819 820 models of physical-biological interactions could be well represented by no less than 821 three basin-scale indicators, one for influence from the sub-tropics (MEI), one for 822 influence from the sub-arctic (PDO), and one for upwelling (NOI). Models of physical-823 biological interactions at the regional or local scale would be well represented by at 824 least one indicator, and we would suggest sea level for this purpose as it integrates all 825 large-scale factors. Moreover, the strongest correlations we found were between sea 826 level and the NOI (rho = -0.76) and sea level and SST (rho = 0.70). As previously 827 suggested (Sydeman and Thompson, 2010), sea level is perhaps the best univariate indicator available for use in environmental and ecosystem assessments. Sea level 828 829 was well correlated with our seasonal MOCI (Table 5).

830

4.4 Environmental Conditions Immediately Preceding MPA Establishment

832 Seasonal MOCI indicate substantial transitions and variability in the years leading up to 833 MPA establishment along the central California coast in 2007 and north-central region in 834 2010 (Fig. 9). The years 2004-2006 (time preceding establishment of MPA in the NCC) 835 were characterized by poor upwelling and generally warm conditions. The year 2008 was characterized by strong upwelling and cold SST in the winter and especially spring, 836 which transitioned to "normal" conditions (within 1 s.d. of the average PC value) in 837 summer and fall and continued through 2009. Winter 2010 was characterized by strong 838 El Niño conditions, comparable to winter 1998, however, this event was relatively short-839 840 lived and by summer 2010 La Niña conditions with strong upwelling and low SST 841 prevailed. Bjorkstedt et al. (2010) describe similar transitions in the entire CCE in 2009 842 and 2010. By fall 2010, conditions were within normal bounds.

843

4.5 Linking Environmental and Biological Indicators

845 As noted above, MOCI reflected well-documented environmental transitions and 846 variability, and we are therefore confident that we selected and processed appropriate 847 variables using multivariate procedures. However, it is clear that patterns of variability 848 of a lower (chl-a) trophic level indicator do not match the patterns of variability in MOCI, 849 neither seasonally nor from an interannual perspective (Fig. 10a). We found an 850 increasing chl-a trend which may be related to positive trends in increasing wind stress (and decreasing SST; Table 2; Wilkerson et al., 2006), but found no correlations 851 852 between seasonal MOCI and de-trended chl-a abundance, indicating no associations 853 on the interannual time scale. Moreover, a peak in chl-a was observed in the 2004-854 2006 period when MOCI generally indicated warm conditions with weak upwelling. Measuring bulk chl-a does not provide information on phytoplankton community 855 composition, which may explain why correlations with MOCI were elusive since 856 857 phytoplankton species respond differently to transport, upwelling, and variation in local 858 hydrographic conditions (Chavez et al., 2011). In support of this idea, the seabird indicators based on productivity of individual species were strongly associated with 859 860 seasonal MOCI, with spring in particular explaining a significant proportion of the variability in species-specific and combined seabird breeding success. Seabird 861 breeding success in itself is an integration of a several parameters including the number 862 of eggs produced per nest (clutch size), the proportion of eggs hatched, and the 863 proportion of hatched chicks raised to independence (for interannual variation in these 864 865 parameters see Sydeman et al., 2001). Seabird breeding success has been presented previously as an indicator of prey abundance (Cairns, 1987; Piatt et al., 2007), 866 specifically mesozooplankton (e.g., krill) and small forage fish used for offspring 867 868 production (Sydeman et al., 2013). Therefore, the strong connection between seabird breeding success and spring MOCI suggests that the MOCI may also be an indicator of 869 the coastal food webs that seabirds are dependent upon. More importantly, this 870 871 provides support for our approach in both designing and implementing MOCI, and for 872 potential application in assessing biotic changes in MPAs. The seabird correlations also support our contention that the lack of correlation with bulk chl-a may be due to poor 873 874 information content about which species of phytoplankton are represented in the chl-a 875 values obtained via satellite remote-sensing.

- 876
- 877 5. Conclusion

878 We designed and implemented multivariate indicators of ocean climate (MOCI) for the

- 879 north-central study region based on processes known to affect populations and
- ecosystem dynamics there (transport from the south and north and regional upwelling).
- The seasonal MOCI represented environmental variability well and show promise for
- understanding physical-biological interactions in the system. MOCI can be used to put
- biological findings in the context of broader environmental variability.
- 884

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Table 1. Data sets used. Shown for each variable is the year of the beginning of the
full time series (all data sets extend through 2010) and the source for the data.

		Beginning Year of Time	
Variable Type	Variable	Series	Data Source
	Southern Oscillation Index	1900	Climate Analysis Section, National Center for Atmospheric Research
Sub-tropical Climate Indices	Multivariate ENSO Index	1950	Earth System Research Laboratory, NOAA
	Oceanic Nino Index	1950	Center for Climate Prediction, National Weather Service, NOAA
	North Pacific Index	1900	Climate Analysis Section, National Center for Atmospheric Research
Sub-arctic Climate	North Pacific Gyre Oscillation	1950	Emanuele Di Lorenzo, Georgia Institute of Technology
	Pacific Decadal Oscillation	1900	Joint Institute for the Study of the Atmosphere and Ocean, University of Washington
	Northern Oscillation Index	1970	Pacific Fisheries Environmental Laboratory, NOAA
Upweiling	Bakun Upwelling Index 36°N Bakun Upwelling Index 39°N	1946 1946	Environmental Research Division,
	California Current	2002	Howard Freeland, Fisheries and
California Current	Point Reves Cell 0	2001	
Flow	Point Reves Cell 1	2001	Bodega Marine Lab, University of
	Point Reves Cell 2	2001	California Davis
	Point Reves Cell 3	2001	
	Bodega Bay	1982	
	San Francisco	1982	Marisol Garcia-Reves. University of
Wind Stress	Half Moon Bay	1982	California Davis
	Monterey Bay	1982	
	South Beach	1967	National Water Level Observation
Sea Level	Crescent City	1933	Network, Center for Operational
	San Francisco	1901	Oceanographic Products and Services
	Point Arena	1982	NOAA
	Bodega Marine Lab	1988	Bodega Ocean Observing Node, Bodega Marine Lab, University of California Davis
Sea Surface	Bodega Bay	1981	ΝΟΔΔ
Temperature	San Francisco	1983	
	Farallon Islands	1955	Scripps Institution of Oceanography Shore Stations Program
	Half Moon Bay		NOAA
	Monterey Bay	1987	

Salinity	Bodega Marine Lab	1988	Bodega Ocean Observing Node, Bodega Marine Lab, University of California Davis
	Farallon Islands	1955	Scripps Institution of Oceanography Shore Stations Program
	Fort Ross Bodega Marine Lab	1950 1988	National Climate Data Center, NOAA Bodega Ocean Observing Node, Bodega Marine Lab, University of
Air Temperature	Dodoga Marino Lub	1000	California Davis
	San Francisco	1959	
	Pacifica	1984	National Climate Data Center, NOAA
	Half Moon Bay	1939	
	Fort Ross	1931	
Braginitation	San Francisco	1959	National Climata Data Contar, NOAA
Frecipitation	Pacifica	1984	National Climate Data Center, NOAA
	Half Moon Bay	1939	
Biological	Chlorophyll-a Concentration	1997	Mati Kahru, Scripps Photobiology Group, Scripps Institution of Oceanography
	Seabird Reproductive Success	1971, 1972	PRBO Conservation Science

Table 2. Trends based on Spearman rank correlation for physical variables from 1990
to 2010 (n=252), except for current flow which spans 2002 to 2010 (n=108). Shading
indicates significance (p<0.05) without adjustment for autocorrelation. Climate indices,
the Northern Oscillation Index, California Current flow, and variable type first principal
components (PC1s) were subjected to quadratic regression to test for non-linearity in
patterns of change (*denotes variables with significant quadratic terms).

		Spearman	
Occutherer Occutherer Leder *		rho	p-value
Sub-tropical Climate	Southern Oscillation Index*	-0.31	<0.0001
Indices	Multivariate ENSO Index*	-0.31	<0.0001
	Oceanic Nino Index*	-0.20	0.0017
Sub-arctic Climate	North Pacific Index	0.05	0.4571
Indices	North Pacific Gyre Oscillation*	0.52	<0.0001
	Pacific Decadal Oscillation*	-0.25	0.0001
	Northern Oscillation Index*	0.27	<0.0001
Upwelling	Bakun Upwelling Index 36°N	0.11	0.0813
opnomig	Bakun Upwelling Index 39°N	0.17	0.0084
	Bakun Upwelling Index PC1*	0.16	0.0132
	California Current	0.14	0.1639
	Point Reyes Cell 0	0.08	0.4329
California Current Flow	Point Reyes Cell 1	0.12	0.2239
	Point Reyes Cell 2	0.19	0.0436
	Point Reyes Cell 3	0.08	0.4395
	Point Reyes Flow PC1	0.12	0.2070
	Bodega Bay	0.13	0.0331
	San Francisco	0.20	0.0014
Wind Stress	Half Moon Bay	0.18	0.0046
	Monterey Bay	0.15	0.0185
	Wind Stress PC1*	0.18	0.0051
	South Beach	0.03	0.6420
Seelovel	Crescent City	-0.27	<0.0001
Sea Level	San Francisco	0.00	0.9947
	Sea Level PC1	-0.09	0.1578
	Point Arena	-0.24	0.0002
	Bodega Marine Lab	-0.08	0.1961
	Bodega Bay	-0.17	0.0060
Sea Surface	San Francisco	-0.09	0.1495
Temperature	Farallon Islands	-0.20	0.0011
	Half Moon Bay	-0.17	0.0071
	Monterey Bay	0.02	0.7585
	SST PC1*	-0.17	0.0061
	Bodega Marine Lab	0.08	0.1885
Salinity	Farallon Islands	0.01	0.8509
	Salinity PC1*	0.08	0.1936
	Fort Ross	-0.03	0.6805
	Bodega Marine Lab	-0.35	<0.0001
A : n T n n n n n n n n n n	San Francisco	-0.20	0.0012
Air remperature	Pacifica	0.17	0.0075
	Half Moon Bay	-0.07	0.2865
	Air Temperature PC1*	-0.15	0.0154

	Fort Ross	0.06	0.3673
	San Francisco	0.04	0.4828
Precipitation	Pacifica	0.01	0.9201
	Half Moon Bay	0.00	0.9986
	Precipitation PC1	0.02	0.7403

Table 3. Results of principal components analysis within variable types, 1990-2010 (Pt.
Reyes surface flow, 2002-2010). A. Eigenvalues and variance explained by the first two
principal components. B. Site loadings within variable type.

Α.						
	Component	Eigenvalue	Proportion	Cumulative		
Bakun Unwelling	1	1.701	0.850	0.850		
Bakun Opwening	2	0.299	0.150	1.000		
Surface Flow, Pt.	1	3.349	0.837	0.837		
Reyes	2	0.467	0.117	0.954		
Wind Stress	1	3.287	0.822	0.822		
Wind OffC35	2	0.405	0.101	0.923		
Sea level	1	2.545	0.848	0.848		
	2	0.309	0.103	0.951		
Sea Surface	1	4.989	0.713	0.713		
Temperature	2	0.559	0.080	0.793		
Salinity	1	1.734	0.867	0.867		
Calling	2	0.266	0.133	1.000		
Air Temperature	1	3.235	0.647	0.647		
	2	0.723	0.153	0.800		
Precipitation	1	3.660	0.915	0.915		
resipitation	2	0.157	0.039	0.954		

В.					
		Eigenvect	or Loadings		
	Site	1	2		
Bakun Llowolling	36°N	0.707	0.707		
Bakun Opwening	39°N	0.707	-0.707		
	Cell 0	0.478	0.626		
Surface Flow, Pt.	Cell 1	0.514	0.334		
Reyes	Cell 2	0.523	-0.321		
	Cell 3	0.484	-0.627		
	Bodega Bay	0.513	0.016		
Wind Stress	San Francisco	0.465	0.815		
Wind Offess	Half Moon Bay	0.514	-0.344		
	Monterey Bay	0.507	-0.462		
	South Beach	0.573	-0.642		
Sea level	Crescent City	0.596	-0.101		
	San Francisco	0.563	0.760		
	Point Arena	0.356	-0.628		
	Bodega Marine Lab	0.399	-0.176		
San Surfann	Bodega Bay	0.387	0.124		
Temperature	San Francisco	0.404	0.065		
remperature	Farallon Islands	0.407	-0.138		
	Half Moon Bay	0.347	0.729		
	Monterey Bay	0.338	0.068		
Salinity	Farallon Islands	0.707	0.707		
Samity	Bodega Marine Lab	0.707	-0.707		
	Fort Ross	0.478	-0.005		
Air Temperature	Bodega Marine Lab	0.401	-0.620		
	San Francisco	0.490	0.018		

	Pacifica	0.368	0.779
	Half Moon Bay	0.485	-0.092
	Fort Ross	0.491	0.857
Precipitation	San Francisco	0.499	-0.142
riecipitation	Pacifica	0.507	-0.297
	Half Moon Bay	0.503	-0.396

Table 4. Interrelationships between environmental indicators. Spearman rank cross-correlations (Spearman rho) for monthly anomalies of climate indicators and first principal components of ocean indicators based on monthly anomalies, 1990-2010 (n=252) or current flow (2002-2010, n=108). Shading indicates rho > |0.5|.

	Multivariate ENSO Index	Southern Oscillation Index	Oceanic Nino Index	North Pacific Index	North Pacific Gyre Oscillation	Pacific Decadal Oscillation	Northern Oscillation Index
Southern Oscillation Index	0.76						
Oceanic Nino Index	0.93	0.74					
North Pacific Index	-0.28	-0.27	-0.30				
North Pacific Gyre Oscillation	-0.40	-0.33	-0.32	-0.04			
Pacific Decadal Oscillation	0.51	0.39	0.46	-0.34	-0.40		
Northern Oscillation Index	-0.51	-0.50	-0.48	0.44	0.25	-0.40	
Upwelling Index PC1	-0.26	-0.12	-0.23	0.33	0.19	-0.34	0.60
California Current Flow	-0.40	-0.38	-0.43	0.09	0.30	-0.43	0.33
Surface Flow, Pt. Reyes PC1	-0.22	-0.15	-0.24	0.10	0.02	-0.10	0.54
Wind Stress PC1	-0.18	-0.11	-0.16	0.25	0.18	-0.24	0.61
Sea Level PC1	0.46	0.31	0.42	-0.38	-0.29	0.50	-0.76
Sea Surface Temperature PC1	0.43	0.30	0.37	-0.33	-0.38	0.59	-0.60
Salinity PC1	-0.20	-0.08	-0.15	0.20	0.31	-0.48	0.38
Air Temperature PC1	0.25	0.24	0.21	-0.32	-0.23	0.51	-0.45
Precipitation PC1	0.01	-0.04	-0.01	-0.12	0.00	0.10	-0.37

	Upwelling Index PC1	California Current Flow	Surface Flow, Pt. Reyes PC1	Wind Stress PC1	Sea Level PC1	Sea Surface Temperature PC1	Salinity PC1	Air Temperature PC1
California Current Flow	0.22							
Surface Flow, Pt. Reyes PC1	0.33	0.10						
Wind Stress PC1	0.66	0.18	0.59					
Sea Level PC1	-0.66	-0.39	-0.43	-0.55				
Sea Surface Temperature PC1	-0.57	-0.39	-0.40	-0.54	0.70			
Salinity PC1	0.37	0.40	0.12	0.38	-0.62	-0.57		
Air Temperature PC1	-0.41	-0.24	-0.06	-0.34	0.44	0.69	-0.39	
Precipitation PC1	-0.41	-0.12	-0.33	-0.47	0.50	0.18	-0.28	0.04

Table 5. Seasonal multivariate ocean-climate indicators (MOCI) derived by principal component analysis (PCA) on detrended physical variables within each season, 1990-2010. A. Eigenvalues and proportion explained for the first and second principal components. B. Eigenvector loadings for first and second principal components by season. Shading indicates values > |0.2|.

Α.				
Season	Component	Eigenvalue	Proportion	Cumulative
Winter	1	18.943	0.557	0.557
Winter	2	5.000	0.147	0.704
Spring	1	18.163	0.534	0.534
Oping	2	4.628	0.136	0.670
Summer	1	13.025	0.383	0.383
Summer	2	4.673	0.138	0.521
Fall	1	13.729	0.404	0.404
i ali	2	4.084	0.120	0.524

B.

			Winter		Spring		Summer		Fall	
		1	2	1	2	1	2	1	2	
Sub-tropical Climate	Southern Oscillation Index	0.149	0.113	0.063	0.248	0.111	-0.200	0.148	0.305	
Indices	Multivariate ENSO Index	0.164	0.087	0.151	0.151	0.193	-0.132	0.147	0.330	
	Oceanic Nino Index	0.161	0.120	0.125	0.191	0.176	-0.195	0.135	0.364	
Sub-arctic Climate	North Pacific Index	-0.145	0.014	-0.078	-0.206	-0.182	0.199	-0.090	-0.091	
Indices	North Pacific Gyre Oscillation	-0.073	-0.162	-0.147	-0.097	-0.071	-0.129	-0.102	0.036	
	Pacific Decadal Oscillation	0.157	0.206	0.182	0.028	0.196	0.031	0.219	0.012	
	Northern Oscillation Index	-0.218	0.046	-0.191	-0.122	-0.164	0.185	-0.207	-0.262	
Upwelling	Bakun Upwelling Index 36°N	-0.174	0.017	-0.192	-0.002	-0.136	-0.057	-0.122	-0.068	
	Bakun Upwelling Index 39°N	-0.193	0.111	-0.211	-0.008	-0.189	0.081	-0.110	0.074	
	Bodega Bay	-0.193	0.119	-0.180	0.083	-0.076	0.265	-0.196	0.195	
Wind Streep	San Francisco	-0.193	0.147	-0.191	0.106	-0.027	0.152	-0.199	0.181	
wind Stress	Half Moon Bay	-0.190	0.139	-0.141	0.011	0.052	0.218	-0.187	0.151	
	Monterey Bay	-0.177	0.137	-0.191	0.071	0.007	0.225	-0.204	0.081	
Sea Level	South Beach	0.200	-0.142	0.199	0.001	0.251	-0.008	0.169	0.224	
	Crescent City	0.219	-0.086	0.222	0.017	0.235	0.039	0.212	0.172	
	San Francisco	0.213	-0.089	0.202	-0.102	0.179	0.203	0.225	0.224	
	Point Arena	0.192	0.057	0.184	0.048	0.236	-0.070	0.198	-0.042	
	Bodega Marine Lab	0.204	0.129	0.209	0.037	0.210	0.070	0.220	-0.034	
	Bodega Bay	0.196	0.165	0.222	-0.034	0.173	0.213	0.194	-0.159	
Sea Surface	San Francisco	0.188	0.157	0.211	0.087	0.242	0.141	0.219	-0.106	
remperature	Farallon Islands	0.215	0.092	0.204	0.024	0.240	0.092	0.218	-0.019	
	Half Moon Bay	0.148	0.166	0.168	0.065	0.184	0.233	0.171	-0.211	
	Monterey Bay	0.171	0.187	0.184	-0.055	0.205	0.098	0.144	0.004	
	Bodega Marine Lab	-0.170	0.125	-0.169	0.138	-0.158	-0.038	-0.129	0.164	
Salinity	Farallon Islands	-0.138	0.059	-0.183	0.179	-0.124	-0.261	-0.103	0.200	
Air Temperature	Fort Ross	0.169	0.169	0.162	0.191	0.199	0.059	0.157	-0.121	
	Bodega Marine Lab	0.151	0.178	0.172	0.151	0.094	0.115	0.197	-0.180	
	San Francisco	0.150	0.169	0.184	0.169	0.226	0.057	0.167	-0.205	
	Pacifica	0.086	0.316	0.024	0.371	0.213	-0.006	0.099	-0.209	
	Half Moon Bay	0.179	0.149	0.189	0.138	0.224	-0.029	0.190	-0.076	
	Fort Ross	0.138	-0.287	0.166	-0.244	0.131	-0.207	0.118	0.144	
	San Francisco	0.144	-0.315	0.087	-0.359	0.133	-0.271	0.130	0.138	
Precipitation	Pacifica	0.129	-0.338	0.122	-0.358	0.092	-0.330	0.155	0.115	
	Half Moon Bay	0.129	-0.323	0.108	-0.381	0.055	-0.327	0.180	0.066	

Table 6. Cross-correlation of seasonal MOCI produced by principal component analysis (PCA), local variables only combined with PCA, and climate indices only combined with PCA. In each cell, Spearman rho is the top value and the p-value is below. Shading indicates significance (p<0.05). Winter, spring, and summer n = 21; fall n = 20.

	Fall _{x-1}		Winter		Spring		Summer	
	MOCI	Local Variables	MOCI	Local Variables	MOCI	Local Variables	MOCI	Local Variables
Local	0.97		0.98		0.99		0.97	
Variables	< 0.0001		<0.0001		<0.0001		<0.0001	
Climate	0.71	0.65	0.78	0.73	0.73	0.67	0.53	0.43
Indices	0.0001	0.0018	<0.0001	0.0002	0.0002	0.0009	0.0130	0.0542

	MOCI1- Winter	MOCI2- Winter	MOCI1- Spring	MOCI2- Spring	MOCI1- Summer	MOCI2- Summer	MOCI1- Fall	MOCI2- Fall
Common Murro	-0.59	-0.10	-0.73	0.04	0.01	-0.57	-0.31	0.15
	0.01	0.70	<0.01	0.87	0.98	0.01	0.21	0.55
Pigeon Guillemot	-0.35	-0.03	-0.62	0.25	-0.13	-0.59	0.16	0.27
	0.16	0.92	0.01	0.32	0.60	0.01	0.53	0.28
Cassin's Auklet	-0.19	-0.09	-0.48	-0.06	-0.50	-0.38	-0.17	0.29
	0.45	0.73	0.04	0.82	0.03	0.12	0.51	0.24
Brandt's Cormorant	-0.33	-0.13	-0.61	-0.22	-0.39	-0.66	-0.21	0.16
	0.18	0.60	0.01	0.38	0.11	<0.01	0.40	0.53
PC1 _{seabirds}	-0.48	-0.13	-0.79	0.01	-0.44	-0.68	-0.15	0.28
	0.04	0.62	<0.01	0.96	0.07	<0.01	0.55	0.26

Table 7. Spearman rank correlations of seabird reproductive success residuals and $PC1_{seabirds}$ and seasonal MOCI. In each cell are Spearman rho and p-value. N = 18 for all relationships. Shading indicates relationships where p < 0.05.

Figure 1. Indicators of El Niño/La Niña, transport from the tropics. A. Southern Oscillation Index (SOI, sign reversed), B. Multivariate ENSO Index (MEI), and C. Oceanic Niño Index (ONI), 1990-2010. Blue line indicates LOESS smoothing function with sampling proportion of 0.2. Dashed lines show +/- 1 long-term standard deviation based on varying time series (SOI: 1900-2010, MEI and ONI: 1950-2010).



1992 1994 1996 1998 2000 2002 2004 2006 2008 2010

Year

2

-1

-2

1990

Oceanic Nino Index





Figure 2. Indicators of transport from the sub-arctic North Pacific. A. North Pacific Index (NPI) monthly anomalies, B. Pacific Decadal Oscillation (PDO), and C. North Pacific Gyre Oscillation Index (NPGO), 1990-2010. Blue line indicates LOESS smoothing function with sampling proportion of 0.2. Dashed lines show +/- 1 long-term standard deviation based on varying time series (NPI and PDO: 1900-2010 and NPGO: 1950-2010).







Figure 3. A. Indicators of regional upwelling. Northern Oscillation Index (NOI) and B. the first principal component (PC1) of Bakun Upwelling Index at 36°N and 39°N, 1990-2010. Blue line indicates LOESS smoothing function with sampling proportion of 0.2. Dashed lines show +/- 1 long-term standard deviation for NOI (1970-2010) and 1990-2010 standard deviation for Upwelling PC1.



Figure 4. Indicators of large-scale and local current flow. A. California Current flow measured by Argo and B. surface flow at Pt. Reyes, first principal component of all cells, 2002-2010. Blue line indicates LOESS smoothing function with sampling proportion of 0.2. Dashed lines show +/- 1 standard deviation.



Figure 5. Indicators of regional coupled wind and hydrography (1990-2010): A. wind stress, B. sea surface temperature, C. sea level, and D. salinity. Blue line indicates LOESS smoothing function with sampling proportion of 0.2. Dashed lines show +/- 1 standard deviation.





Figure 6. Indicators of regional atmospheric conditions. First principal components of A. air temperature and B. precipitation, 1990-2010. Blue line indicates LOESS smoothing function with sampling proportion of 0.2. Dashed lines show +/- 1 standard deviation.



Figure 7. The first principal component variable loadings plotted against the second principal component variable loadings (PC space), 1990-2010 for seasonal MOCI. MOCI were calculated from residuals of seasonal averages. A. winter, B. spring, C. summer, and D. fall. Labels for circular symbols indicate climate variables. SOI: Southern Oscillation Index; MEI: Multivariate ENSO Index; NOI: Northern Oscillation Index; NPGO: North Pacific Gyre Oscillation; NPI: North Pacific Index; ONI: Oceanic Nino Index; PDO: Pacific Decadal Oscillation. ☆: sea level; ■: sea surface temperature (SST); **x**: salinity; ▼: Bakun Upwelling Index; : wind stress; ▲: air temperature; ♦: precipitation.



Figure 8. Seasonal MOCI against time for A. winter, B. spring, C. summer and D. fall, 1990-2010. MOCI were calculated from residuals of seasonal averages. Blue line shows LOESS smoothing function with sampling proportion = 0.3. Dashed lines show +/-1 standard deviation.



Figure 9. Seasonal MOCI time series, 1990-2010. Smoothed line is based on LOESS with sampling proportion of 0.3. Dashed lines are 1 s.d. for the time series. 1 standard deviation was also calculated for each season. Red points indicate values greater than 1 seasonal s.d. and blue points indicate values less than 1 seasonal s.d.



Figure 10. A. Log chlorophyll-a abundance anomalies (1997-2011). Blue line indicates LOESS smoothing function with sampling proportion of 0.3. Dashed lines show +/- 1 standard deviation. Seabird reproductive success with linear regression for B. pigeon guillemot (1971-2007), C. Cassin's auklet (1971-2007), D. common murre (1972-2007), and E. Brandt's cormorant (1971-2007). F. Seabird first principal component, 1972-2007.



Figure 11. Significant relationships for spring MOCI (PC1) and residuals of reproductive success for A. Cassin's auklet, B. common murre, C. pigeon guillemot, and D. Brandt's Cormorant. E. Relationship of MOCI1-spring and a PC1_{seabirds}. Regression lines are linear with the exception of common murre, which is an exponential curve.

