

California Sea Grant - Request For Proposals

2024 Aquaculture Awards February 8, 2023



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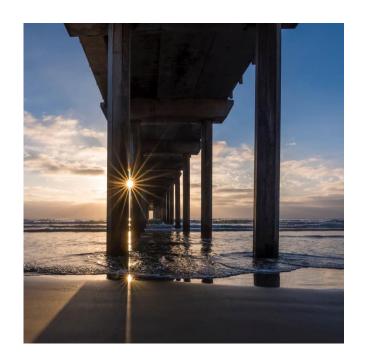
Please note that:

- The information in this presentation is accurate as of February 8, 2023
- The timeline and details of the 2024 request for proposals (RFP) contained herein are subject to change
- Any updates to the timeline or the RFP will be listed on the sea grant website:
 - https://caseagrant.ucsd.edu/funding/2024-aquaculture-awards
- We urge potential applicants to refer to the RFP itself when preparing applications and not solely rely on the information in this presentation.



Webinar Objectives

- Overview of the request for proposals
- Review important application components
- Answer questions that potential applicants may have





Webinar Guidelines



- All participants automatically muted during presentation
- Live Transcription is enabled
- Ask questions using the Q&A box
- Recording of the presentation will be made available on California Sea Grant website
- Please reserve very specific questions for email (<u>sgproposal@ucsd.edu</u>)





California Sea Grant

Mission:

To provide the information, tools, training, and relationships needed to help California conserve and sustainably prosper from our coastal and marine environments.



Grants & Funding



Fellowships



Communications

Extension



2024 Aquaculture Awards Information

- This RFP is focused on proposals that address goals and objectives specific to aquaculture in the Sustainable Fisheries and Aquaculture (SFA) section of our 2024-2027 strategic plan
 - * Please note that we are not accepting proposals related to salmonid restoration and enhancement at this time.
- We anticipate funding **2 to 4 projects** contingent upon receipt of anticipated funds from NOAA.
- The duration of a project request is typically two years, though requests for a 1-year award will also be considered





2024 Aquaculture Awards Information



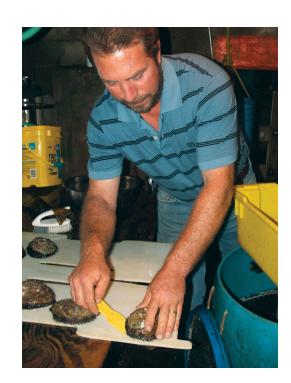
- Project budgets may request a maximum of \$150,000per year
 - including funds to provide a stipend for one graduate student per year (conforming to your institution's stipend for a half-time graduate student at their level of experience).
- Research projects are required to provide **25% in matching funds** from non-federal sources

Work with your sponsored projects office on your budget!



Timeline

- March 14, 2023 by 5:00 pm PT Letters of Intent due via eSeaGrant
- June 6, 2023 by 5:00 pm PT: Full proposals due via eSeaGrant
- June-Late August, 2023: Full proposal review period
- September 2023: Applicants notified of recommendations to fund projects
- **February 1, 2024**: Projects expected to begin





Eligibility



- Faculty and academic staff from universities and scientists from research institutions throughout California
- Only applicants who have submitted a Letter of Intent may submit a full proposal.
- Applicants may submit more than one Letter of Intent/full proposal but if selected, only one award will be made to the principal investigator (PI)
 - PIs may be listed as co-PIs on other projects even if they are lead PI on their own project
- To be eligible, the applicant must include a partnership with an aquaculture practitioner



Aquaculture Practitioners

- Applicants must include a collaboration with at least one aquaculture practitioner who:
 - is familiar with existing data and/or resource issues central to the proposed research.
 - conducts work that requires the application of scientific information, particularly the information, data, and findings that will result from the PI's research project.
- Aquaculture practitioners may include those who work in the commercial/private sector, affiliated with non-profit organizations, or a member of a public-sector or community-based aquaculture program.





Aquaculture Practitioners

- PIs must include a corresponding letter of commitment from one or more aquaculture practitioner.
- The type of outreach and engagement and the role of the aquaculture practitioner will be determined and defined by the PI, and the activities should complement the proposed research.
- If you would like to discuss ideas for potential aquaculture practitioners, or if you have any issues identifying a relevant practitioner, please reach out to sgproposal@ucsd.edu





California Sea Grant Extension Specialists

Applicants are encouraged to reach out to Extension Specialists early on in the development of the proposal to seek feedback or advice and potentially partner on the project



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Strategic Focus Area: Sustainable Fisheries & Aquaculture



- SFA GOAL 1: California's fisheries, aquaculture, seafood systems and the environments that support them are environmentally, economically and socially more sustainable and resilient to future change through the facilitation of partnerships and the (co-) production of knowledge.
- **SFA GOAL 2:** California's fishing, aquaculture and seafood industries have evidence-based information, partnerships and tools needed to support decision-making and a sustainable path forward through community engagement, collaboration and education.



PRIORITY RESEARCH OBJECTIVES

* Please note that at this time we will not be accepting projects related to salmonid restoration and enhancement

- Causes, influences, and/or solutions related to **multi-stressors**, in particular those focused on pathogens, harmful algal blooms, and environmental contaminants
- Quantification of potential ecosystem services associated with aquaculture
- Social and/or economic perceptions of the role of aquaculture in CA communities
- Opportunities and challenges for **co-locating aquaculture** with other coastal and ocean uses such as offshore renewable energy, fishing and port infrastructure
- Understanding the human health benefits and risks associated with new and emerging aquaculture products
- Assessment of **native species aquaculture** in a commercial (non-restoration) setting





PRIORITY RESEARCH OBJECTIVES

Cross-Cutting Focus Area - Diversity, Equity, Inclusion, Justice and Accessibility



- DEIJA Goal 2: Across our staff and network, California Sea Grant builds a program where a diversity of lived experiences are represented, particularly Indigenous, underserved and underrepresented identities in marine and coastal sciences, thereby facilitating a culture of belonging and equitable support.
- **DEIJA Goal 3:** California Sea Grant and its network co-produce knowledge, create access to scientific information and support research and scholarship priorities of value to a diversity of communities, focused on Indigenous, underserved and underrepresented peoples.



PRIORITY RESEARCH OBJECTIVES

Resources Agency Sea Grant Advisory Panel (RASGAP)

- The RASGAP panel is codified in California state law with the purpose of advising and assisting California Sea Grant.
- Input from RASGAP is directed toward identifying and meeting priorities for funding according to the needs identified by state resource protection and management agencies.
- The RASGAP panel reviews research proposals and provides a resource protection- and management-relevant view of proposal value.
- Review the RASGAP Research Priorities (linked in the RFP)!





Deadline: 5:00 PM PT, March 14, 2023 in eSeaGrant

Required Application Components - Letter of Intent (2 pg limit)

Formatting guidelines can be found in the RFP.

- Name of applicant and contact information
- Title of project
- Brief discussion of the proposed research topic and approach, including:
 - what priority topics and/or SFA goals the project will address
 - any aquaculture practitioners that you have already identified or plan to contact
- Approximate funding amount to be requested
- Five potential (non-conflicted) reviewers

A response acknowledging receipt of the letter of intent will be sent, but no feedback will be provided.



Deadline: 5:00 PM PT, June 6, 2023 in eSeaGrant

Required Application Components - Full Proposal

Component	Page Limit	Notes
Signed Institutional Cover Page	1 pg	Requires sponsored projects office signature! (excel workbook)
California Sea Grant Focus Areas	NA	
Project Summary	1000 characters	
Project Narrative	12 pg excl refs	
CVs and Additional Personnel	2 pg each	For all key personnel
Aquaculture Practitioner Letter(s) of Commitment	NA	Explain the role they will play (e.g. co-design of the research, the anticipated activities involved, relevance of research results to the stakeholder, the value of the proposed project to the stakeholder)
Outreach and Engagement Plan	2 pg	Highly encouraged to focus on underserved and/or disadvantaged communities
Letter(s) of Support	NA	Optional but encouraged for projects involving multiple institutions or partners



Deadline: 5:00 PM PT, June 6, 2023 in eSeaGrant

Required Application Components - Full Proposal

Component	Page Limit	Notes
Budgets and Budget Justification	NA	
Data Management Plan	2 pg	
Environmental Compliance Questionnaire and permits	NA	Copies of all permits required for project activities are required
Current and Pending Support	NA	For key personnel; template in excel workbook!
Demographic Questionnaire (Optional)	NA	

^{*} NOTE: Applicants will not receive access to the full proposal submission portal in eSeaGrant until a few days after the Letter of Intent submission deadline.



Full Proposal Review

- All full proposals will be reviewed by an outside panel of technical experts
- Full proposals deemed fundable by the technical panel will be reviewed by RASGAP for state management
 - relevance
- Evaluation criteria
 - Alignment of Proposed Projects to RFP Research Priorities (30%)
 - Technical/Scientific Merit (30%)
 - Outreach and Engagement (20%)
 - Diversity, equity, and inclusion (10%)
 - Overall Qualifications of the Applicant (5%)
 - Project Costs (5%)



We hope to notify all applicants of their selection status in September 2023. Selected projects would start on/after Feb 1, 2024

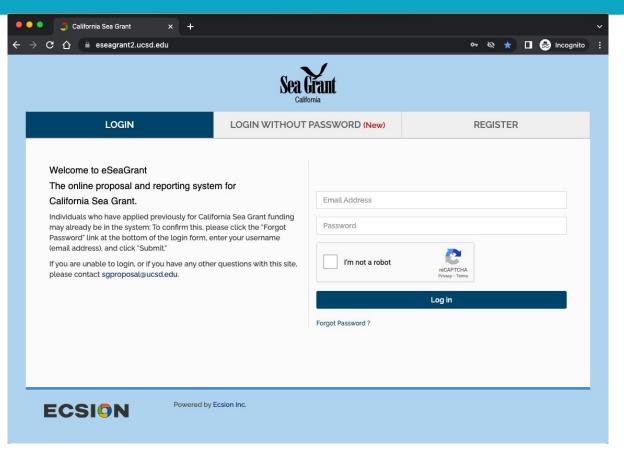


Selection Criteria

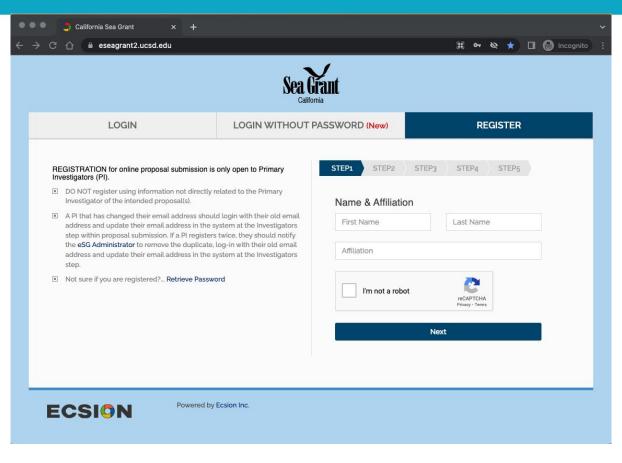
Selection of proposals for funding shall occur in the rank order unless the proposal is justified to be selected out of rank order based on one or more of the following factors:

- 1. Availability of funding
- 2. Balance/distribution of funds:
 - Geographically
 - By type of institution
 - By type of partners
 - By focus area
 - By project types
- 3. Targeting gaps in priority strategic plan goals and objectives
- 4. Addressing the Diversity, Equity, Inclusion, Justice and Accessibility (DEIJA) goals of the California Sea Grant Strategic Plan, including fostering partnerships with Indigenous, underserved, and/or underrepresented peoples.
- 5. Applicant's prior award performance
- 6. Adequacy of information necessary for NOAA staff to make a NEPA determination and draft necessary documentation before recommendations for funding are made to the Grants Officer.

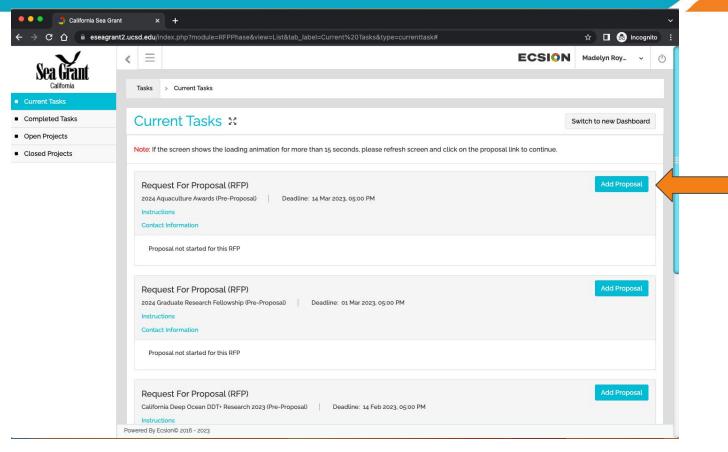




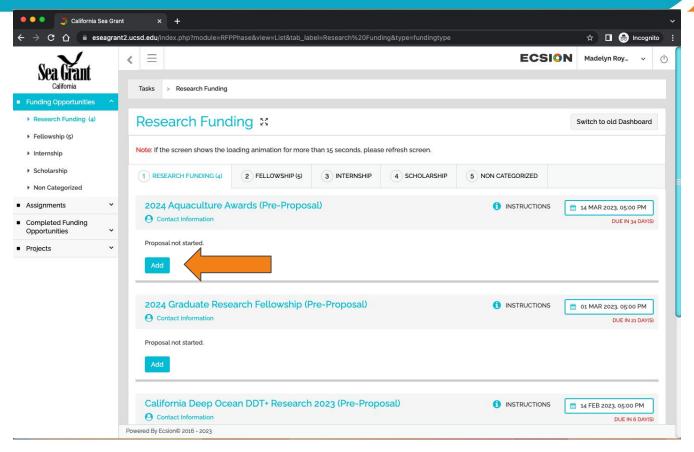




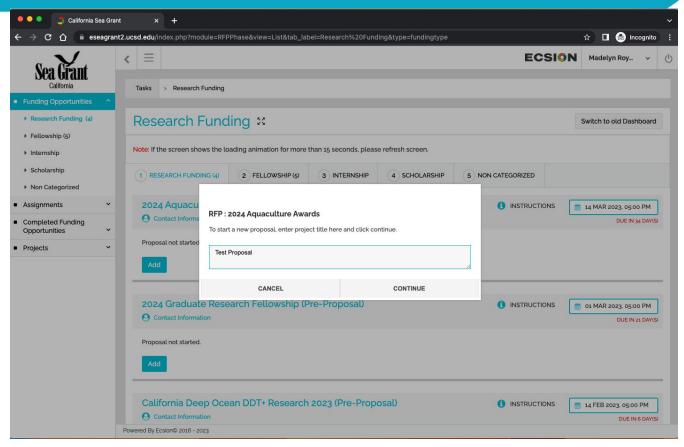




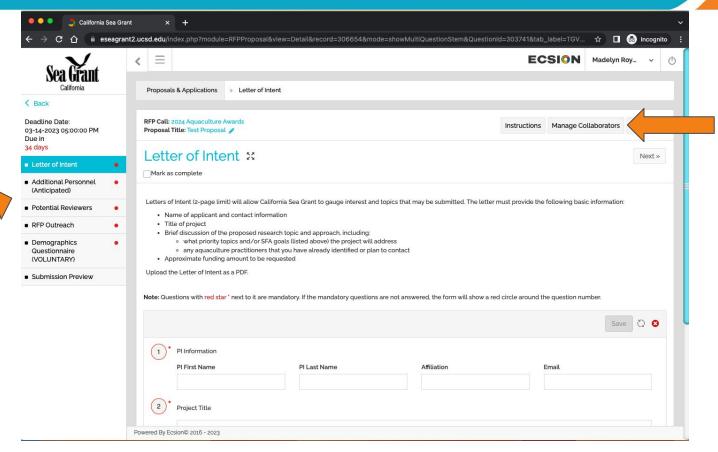




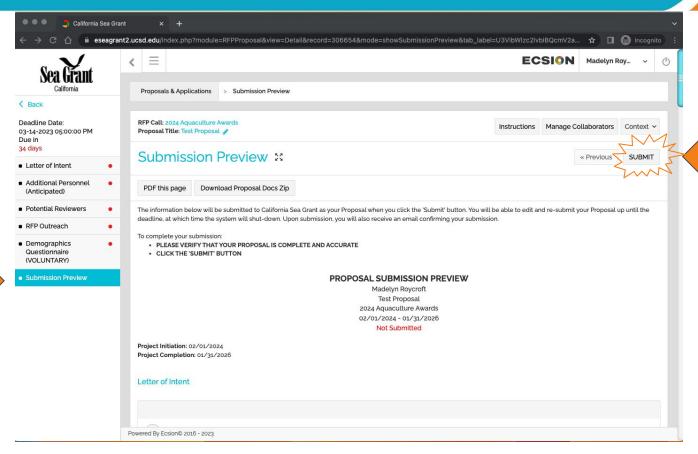




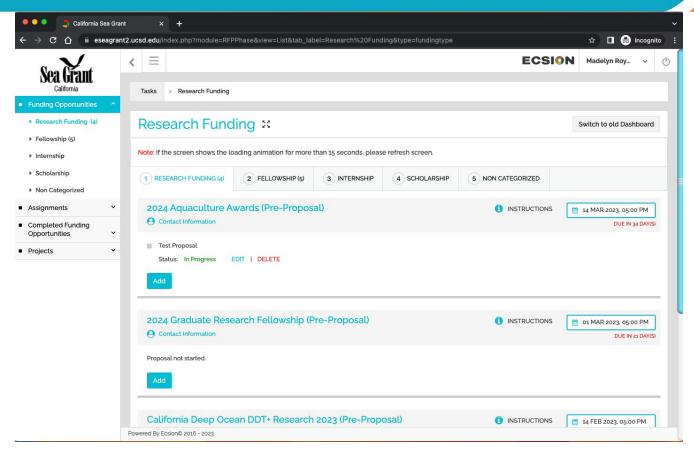










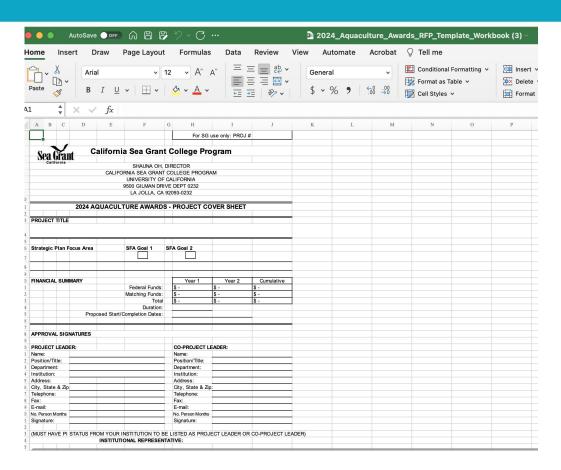








Cover Page





Outreach and Engagement Plans

The Outreach and Engagement Plan is a document that describes how the proposed project and project team will interact with the aquaculture practitioner(s) as well as any other relevant stakeholder groups, throughout the project.

Applicants are highly encouraged to craft Outreach and Engagement plans focused on underserved and/or disadvantaged communities.

This plan should be developed in concert with the aquaculture practitioner(s). The strategies for engagement should include:

- Implication, practical application, and direct link of proposed research to managers, communities, and/or other users;
- Describe the planned outreach and engagement activities associated with the proposed project. Clearly described roles and responsibilities for performing engagement activities throughout the project;
- How any project deliverables will be communicated, disseminated, integrated, and/or distributed to potential end
 users beyond the project team;
- General communication plan for project team throughout the project, such as how will the student and the
 aquaculture practitioner(s) interact to achieve these goals (e.g., frequency, timeline, means of communication,
 topics);
- Possible interaction and engagement with California Sea Grant as a part of the project.



Budget and Budget Justification Details

- \$150,000/year (sum of Direct Costs plus Indirect Costs on modified total direct costs (MTDC)).
- Shorter duration research projects and/or those requesting above the minimum allowable funds but less than the maximum allowable funds are welcomed.
- Be prepared to enter any salaries, wages, and fringe benefits for all personnel associated with the project. Also, if applicable, indicate expected costs for equipment, expendable supplies, publication costs, and travel.
- Each budget justification should explain the budget items in sufficient detail to enable review of the appropriateness of the funding requested. Justifications should be added when filling out the "Budgets" tab in eSeaGrant.
- Graduate trainee stipends and or tuition support are NOT subject to indirect costs, whereas other project costs (e.g. travel, supplies) typically are subject to indirect costs. The amount requested for a trainee stipend must conform to your institution's normal stipend for a half-time graduate student at their level of experience.
- research projects are required to provide 25% funding in non-federal match (i.e., budgets must show \$1 of match for every \$4 of Sea Grant funding requested).
- Only non-federal funds may be committed as match contributions.
- In-kind contributions, tuition, and non-federal salaries are all examples of match.



Aquaculture Practitioner Letter(s) of Commitment:

- At minimum at least one aquaculture practitioner must be identified.
- This entity may be a funded or non-funded partner on the project.
- A commitment letter is required from at least one identified aquaculture practitioner.
- The letter should explain the role they will play in the proposed project including co-design of the research, the anticipated activities involved, relevance of research results to the stakeholder, and the value of the proposed project to the stakeholder.
- Specifically this letter should clearly indicate what the aquaculture practitioner is committed to doing in concert with the proposed project if it is funded.
- Given the diversity of projects typically funded, California Sea Grant recognizes that the practitioner involvement in the project may look different from one project to the next.
- Each should be tailored to the specific project proposed. The goal of this component should be communicating research results to or working with the specified aquaculture practitioner(s).

Data Management Plan

- All applications must include a Data Management Plan that is compliant with NOAA's Public Access to Research Results Plan.
- The Data Management Plan should not exceed 2 pages.
- The Data Management Plan should include descriptions of the types of metadata and data expected to be created during the course of the project, plans for disseminating the metadata and data to the broader community, and plans for long-term archiving of the metadata and data.
- If proposed activities will not generate any environmental data, please include the following statement at the end of your proposal: "Because this project will not generate environmental data, a Data Management Plan is not required."



Environmental Compliance Questionnare

A separate NEPA Questionnaire must be completed for each relevant individual project in the application, following further details below. The Questionnaire can be found here: https://seagrant.noaa.gov/insideseagrant/Implementation.

Applicants must ensure that the Questionnaire is completed in full and includes detailed information regarding project location, methodology, and permits. Copies of all permits required for project activities should be included with application materials. If a permit is pending or planned, please provide this information. Guidance on how to complete the Questionnaire and example Questionnaires for different types of projects can be found here: https://seagrant.noaa.gov/insideseagrant/Implementation.

The NEPA Questionnaire is required for ALL research projects even if the project is fully lab-based or relies on social science. The NEPA Questionnaire is also required for any project that meets the following criteria:

- Environmental permits, authorizations or waivers
- Biological take and/or release
- Environmental sampling
- Hazardous or toxic substances and waste
- Permanent or temporary environmental effects
- Endangered or threatened species and/or protected areas
- Known or unknown risks to human health or the environment
- Controversial environmental subject matter



Selection Process

When all the input is received from the technical panel and RASGAP, the California Sea Grant Management Team and Director of California Sea Grant will make the final recommendations regarding approval of proposals for funding. The National Sea Grant Office will then review and approve those recommendations.

Recommended proposals are compiled by the California Sea Grant College Program into an institutional proposal containing: project and program summaries, budget pages, full proposal narratives, curricula vitae, and letters of support.

The institutional proposal, called the California Sea Grant College Program Omnibus, is submitted to the National Sea Grant College Program for funding and implementation starting on or after February 1 of the following year (depending on when federal funds are ultimately received by California Sea Grant).